OF E 10,000 MIN

1M09 E.

2834B

PATENT	TRANSMITTAL LETTER	R	Case No. 11188/3
Serial No.	Filing Date	Examiner	Group Art Unit
10/075,010	February 13, 2002	Burton S. Mullins	2834
Inventor(s)			
Ernest Eun Ho Shin			
Title of Invention			
<b>BUOYANCY-DRIVEN E</b>	LECTRIC POWER GENERATOR		
	TO THE COMM	ISSIONER FOR PATENTS	

			TO THE COL	MIMISSIONER FOI	R PATENTS				
Transmitted herewith is A Transmittal Letter (in duplicate); First Supplemental Information Disclosure Statement; Form PTO-1449; 5 References; Copy of Search Report; Check for \$180; and White Acknowledgement Postcard.									
	Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.								
	Applicant claims small entity status. See 37 CFR1.27.								
	Petition for amonth extension of time.								
	No additional fee is required.								
	The fee has bee	n calculated	i as shown below:						
					Small	Entity		Other Small I	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'I Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 42=			x \$84=	
First Pre	sentation of Multip	ole Dep. Cla	im		+\$140=			+ \$280=	
					Total add'l fee	\$		Total add'l fee	\$
Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ A duplicate copy of this sheet is enclosed.							duplicate		
$\boxtimes$	A check in the amount of \$180 to cover the filing fee is enclosed.								
The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.									
I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.									
				Respectfully s	ubmitted,				
David H. Bluestone Registration No. 44,542 Attorney for Applicant									
Customer No. Choose Customer No.  BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 (312) 321-4200									
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 1, 2003.									
Date: 10 1 2 803 Signature: 53 BM									

# CERTIFICATE OF MAILING

Thereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

David H. Bluestone, Reg. No. 44,542

Name of Applicant, Assignee or Registered Representative

Signature

Date of Signature

Our Case No.: 11188/3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ernest Eun Ho Shin Examiner: Burton S. Mullins

Serial No.: 10/075,010 Group Art Unit No.: 2834

Filing Date: February 13, 2002

For: BUOYANCY-DRIVEN ELECTRIC

POWER GENERATOR

# FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

13/03/2003 CCHRUS

The references now cited are the following:

No.	Date	Name
3,496,871	2/24/1970	L.F. Stengel
3,859,789	1/14/1975	Fawcett et al.
4,321,020	3/23/1982	Mittal
4,381,181	4/26/1983	Clegg
4,572,561	2/25/1986	Hale

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is enclosed herewith. Should the enclosed check be insufficient, omitted or should any additional fees be deemed necessary under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

In accordance with 37 C.F.R. § 1.97(e)(1), Applicant(s) hereby certify that each item of the information disclosed in this First Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of the present statement, as evidenced by the date of the enclosed search report.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

David H. Bluestone Registration No. 44,542 Attorney for Applicant(s)

**BRINKS HOFER GILSON & LIONE** P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

FORM PTO-1449	SERIAL NO.	CASE NO.
A/FAIT & TRADE	10/075,010	11188/3
LIST OF PATENTS AND PUBLICATIONS FOR	FILING DATE	GROUP ART UNIT
APPLICANT'S INFORMATION DISCLOSURE	February 13, 2002	2834
STATEMENT		
(use several sheets if necessary)	APPLICANT(S): Ernest Eun Ho	Shin

REFERENCE DESIGNATION

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	3,496,871	2/24/1970	L.F. Stengel		
	A2	3,859,789	1/14/1975	Fawcett et al.		
	A3	4,321,020	3/23/1982	Mittal		-
	A4	4,381,181	4/26/1983	Clegg		
•	A5	4,572,561	2/25/1986	Hale		
	A6					
	A7					-
	A8					
	A9					
	A10					
	A11					
	A12					
	A13					

### **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	A14		<del></del>			
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where pu				
	A19				
	A20				
_	A21				
	A22				
•	A23				
	A24				

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.